

05-01-06

2137  
TFW**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Michael T. Aarons

Serial No: 09/921,733

Group No.: 2137

Filed: 03 August 2001

Examiner: NGUYEN, MINH DIEU T

For: Meth &amp; Appar for Secure I/D for Network Environ

**Commissioner of Patents and Trademarks****Washington, D.C. 20231****EXPRESS MAIL CERTIFICATE**

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Second Amendment

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**NOTE:** The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.



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27 April 2006

Honorable Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

E0102331904US

Attention: **Patent Examination Branch**

Applicant:      Applicant:      Michael T. Aarons  
                         Title:              Meth & Appar for Secure I/D for Network Environ  
                         Filed:             03 August 2001  
                         S/N:              09/921,733

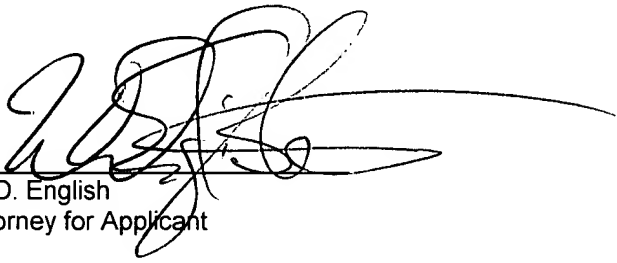
### **PATENT OFFICE TRANSMITTAL CHECK LIST**

Sir:

Enclosed for filing in the United States Patent and Trademark Office is/are the following as checked with an (x):

- ☐ Application for Letters Patent & Fee (37CFR1.51)
- ☐ Design (\$155)
- ☐ Provisional (\$100)
- ☐ Utility (\$380)
  - ☐ New Application Transmittal Sheets
  - ☐ Drawing
    - ☐ Informal
    - ☐ Formal Drawing (37CFR1.81)
    - ☐ Amendment
- ☐ Specification
- ☐ Claims
- ☐ Inventor Oath/Declaration
- ☐ Power of Attorney
- ☐ Verified Small Entity Declaration
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449

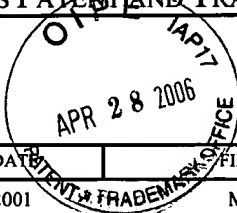
- ☐ Prior Art Patents
- ☐ Assignment
- ☐ Recording Cover Sheet
- ☐ Recording Fee (\$40)
- ☐ Application Filing Fee (37CFR1.16)
- ☐ Continuation Application (\$385)
- ☐ File Wrapper Continuation Application (\$385)
- ☐ Continuation in Part Application (\$385)
- ☐ Reissue Application (\$385)
- ☐ Response to Office Action
- ☒ Amendment
  - ☒ 37CFR1.115
  - ☐ 37CFR1.116 (after final)
  - ☐ Amendment Cover Sheets
  - ☐ Photo Submissions
- ☐ Allowance:
  - ☐ Form PTOL-85B
  - ☐ Issue Fee (37CFR1.18)
  - ☐ Design (\$220)
  - ☐ Utility (\$645)
- ☐ Extension Fee:
  - ☐ \$55/1Mo
  - ☐ \$190/2Mo
  - ☐ \$450/3Mo
- ☐ Appeal/Interfer Fee:
  - ☐ Notice (\$145)
  - ☐ Brief (\$145) (37CFR1.17)
- ☐ Petition Fee (\$130) (37CFR1.17)
- ☐ Maint Fee:
  - ☐ \$495/3Yr
  - ☐ \$995/7Yr
  - ☐ \$1495/11Yr (37CFR1.20)
- ☒ Express Mail Certificate (ECM) (37CFR1.10)
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/921,733	08/03/2001	Michael Thomas Aarons	ALAMM.002A	8757

7590

04/03/2006

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EXAMINER

NGUYEN, MINH DIEU T

ART UNIT PAPER NUMBER

2137

DATE MAILED: 04/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.

09/921,733

Examiner

Minh Dieu Nguyen

Applicant(s)

AARONS, MICHAEL THOMAS

Art Unit

2137

The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 01 February 2006 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_.
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_.
- ☒ 4. Amendments to the claims:
  - ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_.
- ☒ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):  
See Continuation Sheet

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) **only** if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

EMMANUEL L. MOISE

Legal Instruments Examiner (LIE), if applicable **SUPERVISORY PATENT EXAMINER** Telephone No.

Continuation of 5 Other: Each section of an amendment document (e.g., Specification Amendments, Claim Amendments, Drawing Amendments, and Remarks) must begin on a separate sheet to facilitate separate indexing and electronic scanning of each section of an amendment document for placement in an image file wrapper.